



ANNUAL DECLARATION OF COMPLIANCE (2024)
CALIFORNIA HEALTH & SAFETY CODES §§ 119400-119402

In line with Aerogen Limited's ("Aerogen") Code of Code, the AeroCode, our core values of teamwork, innovation, integrity and ambition are at the heart of everything we do. Underpinned by the motto of "We Care", Aerogen operates with high standards of ethical behaviour.

Aerogen has established a Comprehensive Compliance Program ("CCP") in accordance with the California Health and Safety Codes §§ 119400-119402 (the "California Codes"). Based on its good faith understanding and to the best of its knowledge of the statutory requirements, Aerogen has prepared its CCP to meet its specific and unique compliance needs in an ever-evolving regulatory landscape. Aerogen will review and assess its CCP at least annually.

For the purposes of compliance with the requirements of the California Codes and as part of the CCP, Aerogen has established a specific annual aggregate dollar limit of \$2,000 on gifts, promotional materials, items or activities that Aerogen give or otherwise provide to an individual medical or healthcare professional in California on an annual basis from January 1st to December 31st. The annual limits do not include (i) financial support for continuing medical education forums, (ii) financial support for health educational scholarships, (iii) payments for legitimate professional services, (iv) any meals or expenses associated with the provision of such services, (v) items of nominal value with a retail value of less than \$10 (e.g., visual aids, reprints of medical journal articles) and (vi) patient educational materials provided to patients by their physician with the purpose of educating the patient or enhancing the patient's understanding or management of the condition

Aerogen confirms that to the best of its knowledge its CCP addresses the California statutory requirements. By making this declaration, Aerogen is not declaring that in all circumstances it can prevent individual employees from conduct that deviates from its policies. To the extent that any deviations are identified, we are committed to taking necessary and appropriate corrective action.

Copies of this Declaration may be obtained by contacting ethics@aerogen.com.